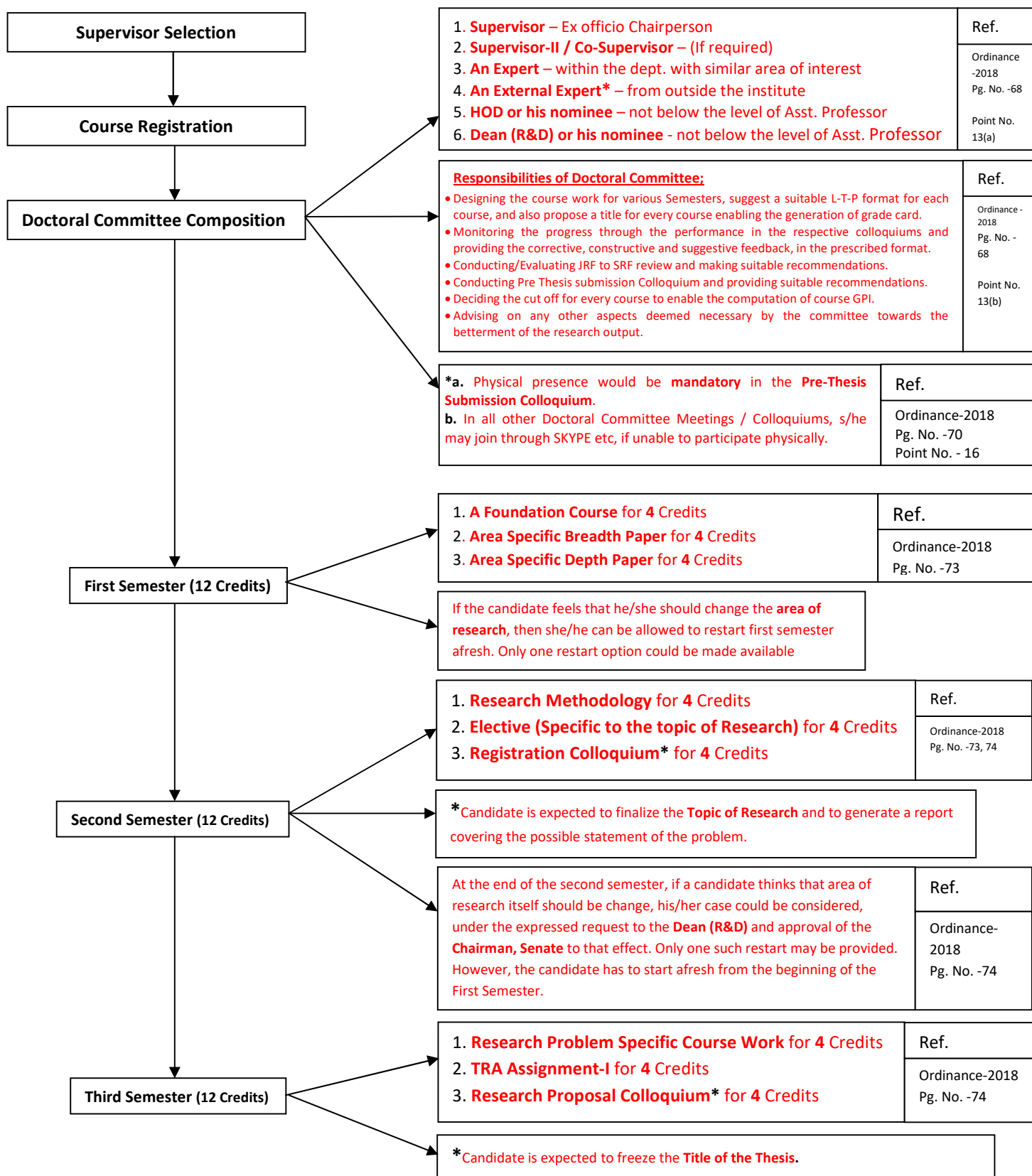
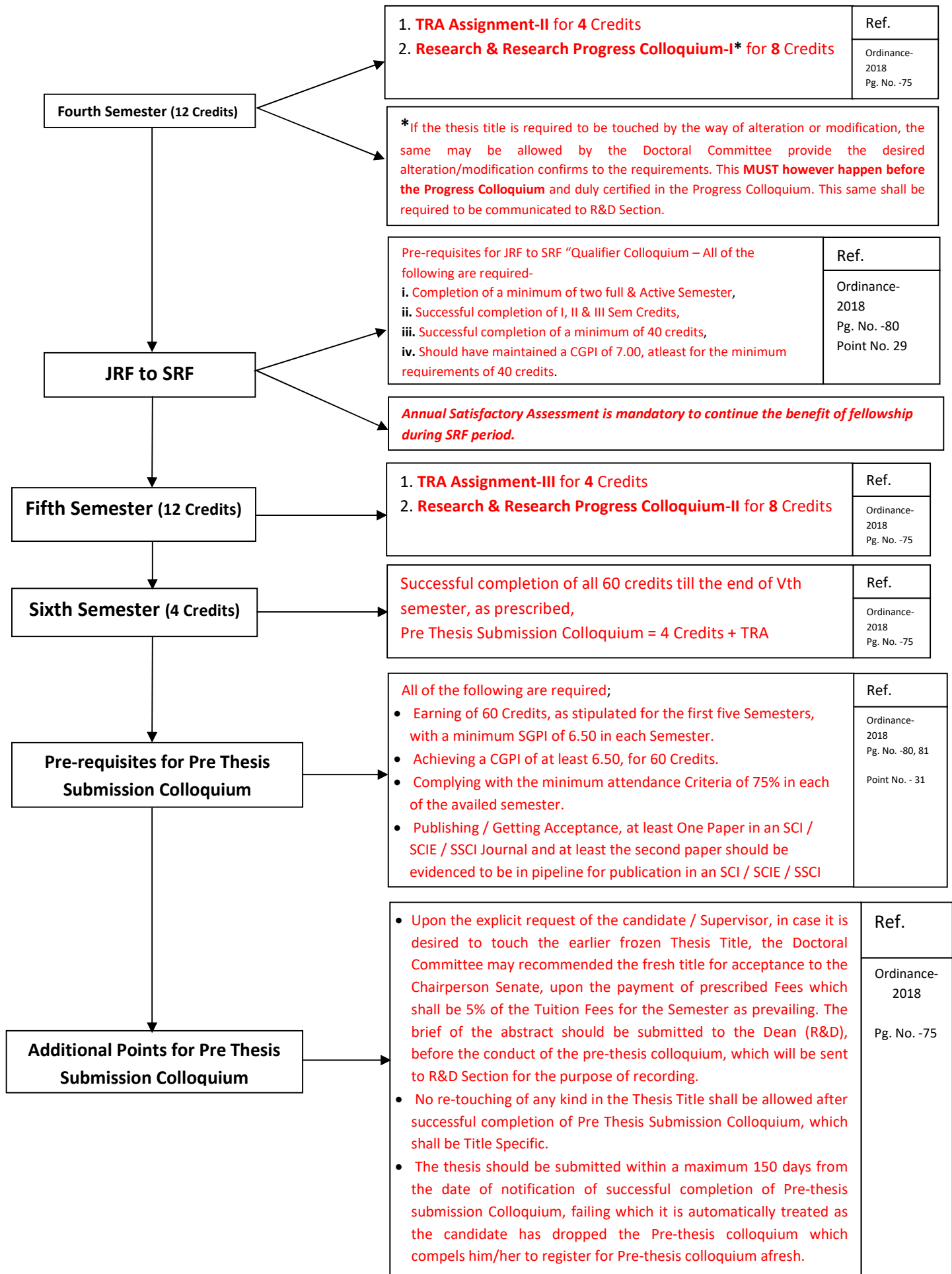


RED: As per ordinance, **Green:** As per practice/additionally proposed

Flow of Ph.D. Program





Thesis Submission

Through the following link you can view the Guidelines for Thesis Preparation:
<https://drive.google.com/file/d/10Jc-AdX4SWNQFrLPxy4Xw-TVWcsFhuhZ8/view?usp=sharing>

Thesis Submission Eligibility

<ul style="list-style-type: none">i. Successful completion of 64 credits.ii. 75% Attendance fulfillment Certification from the supervisor(s).iii. Thesis is Plagiarism free Certification from the supervisor(s).iv. Certification regarding the publication of at least two papers in SCI/SCIE/SSCI Journals.*v. Joint certificate from the candidate and the supervisor(s), stating that the work being submitted is original and has not been submitted elsewhere by them.	Ref. Ordinance-2018 Pg. No. -76 Point No. -27
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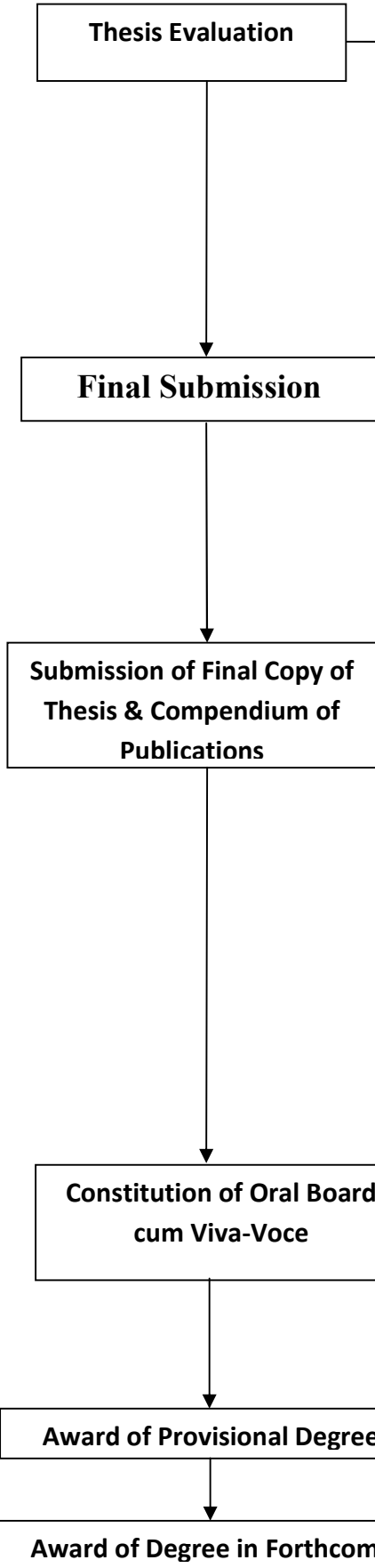
*If any research paper resulting out of the research work contains those names which are other than the supervisor(s) then it is obligatory for the candidate to produce a **No Objection Certificate** from the concerned persons for inclusion of their names in the said paper & Thesis.

Thesis Submission Procedure

<ul style="list-style-type: none">• Thesis to be submitted initially in soft version in (CD/Pendrive) by the candidate together with PDF copies of the above certificates contained therein, to the Supervisor, alongwith one hard copy spiral bound. The Supervisor shall forward the same for further consideration and evaluation to the Dean (R&D) of the institute. If additional hard copies are required at later stage, the candidate would be expected to arrange for the same expeditiously.• The Dean (R&D), may accept the same after ensuring the due compliance of the conditions of this Ordinance and forward the same to the R&D Section for taking up its evaluation.	Ref. Ordinance -2018 Pg. No. -76 Point No. -27
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The following documents are required to be attached in the following order with page numbering at the time of thesis submission:

- Proforma form for Thesis Submission
- Certificate of Originality
- No Dues
- Plagiarism report (certified by the supervisor(s))
- Two published papers in SCI/SCIE/SSCI Journals
- Grade Sheet of 64 Credits (Self Attested)
- 4 Spiral Binding thesis copy with one synopsis
- Examiner List (Submitted by the Supervisor(s))
- Electronic copy of thesis and synopsis sent on email – rds@iiita.ac.in



The supervisor(s) shall jointly (if Supervisor-II/Co-Supervisor wherever applicable) suggest a panel of experts for the purpose, at least 45 days prior for the expected date of thesis submission to the Dean (R&D) (to facilitate parallel seeking of concurrence by the evaluators), as follows;

- A Total of **12 names** shall be suggested, each of which shall be an expert in the area of the thesis to be evaluated. Their expertise should be well evidenced by the documents in support.
- All the suggested experts shall be of the stature of at least that of an Associate Professor of an Institution of National Eminence or higher.
- Out of **12 suggested panelists** a minimum of **FIVE** shall be from out of India and remaining from within the India.
- Of the suggested panelists from India, not more than **three** could be from **Uttar Pradesh**.
- Persons of appropriate level as indicated before, who are associated with a reputed Foreign – Institution / Organization for the past at least three years may only be considered on the panel, as the one from outside India.
- Dean (R&D)** will present the panel to the **Chairperson Senate**, who may accept the suggested panelists for being on the Evaluation Panel or introduce his own names on the panel.
- While approving the names for the evaluation panel the **Chairperson Senate** shall indicate order of priority on which the **R&D Section** under intimation to **Dean (R&D)** shall approach them for acceptance to evaluate the thesis under consideration, clearly appending along with the Synopsis of the work.
- The evaluator shall also be required to sign a **Declaration** that he/she is not a relative of the candidate or the Supervisor(s).
- Evaluation panel for each candidate shall be individually approved by the Chairperson Senate and shall comprise of Three Persons as per the above (Ensuring that at least **one** of the **three** evaluators is from abroad).

Ref.
Ordinance-2018
Pg. No. -76
Point No. 27 (ix)

- Following requirements to be adhered to:
- A Synopsis of the Thesis should be submitted in a hard copy duly bound.
 - Final Hard Bound Copy to be submitted by the candidate before the final Viva-Voce cum Open Thesis Defense, clearly certifying that the suggestions/corrections desired to be incorporated by the Thesis Examination Experts have been duly incorporated and complied with.
 - Two copies, together with one Soft Copy to be submitted.
 - One compendium of all publications in a properly indexed volume should be submitted. One hard bound and one soft copy in a CD.

Ref.
Ordinance-2018
Pg. No. -78
Point No. xi

The following documents are required to be attached in the following order with page numbering at the time of final submission:

- 2 Hard copies of the modified thesis, where various flags should be inserted on the pages wherein the desired correction has been accommodated (signed by both).
- Internal Panel List (Submitted by the Supervisor(s) only)
- Request form for Oral Defense by the Supervisor(s).
- Response sheet
- Request form for Oral Defense by student.
- No Dues
- Transfer of copyright
- All the soft documents in pen drive
- Electronic copy of response sheet and final thesis (highlighted the changes suggested by the external examiner) sent on email rds@iiita.ac.in

- The Dean (R&D) will get the Viva-Voce – cum – Open Defense evaluation board constituted.
- The said Board meeting shall be convened by the R&D Section and the Board shall comprise of the following:
 - The Supervisor – Chairperson of the Board
 - Supervisor-II/ Co-Supervisor (if applicable)
 - One of the External Examiner as identified by the Chairperson Senate
 - Head of the Concerned Department/One of the Doctoral Committee Member/Nominee of the Chairperson Senate

Ref.
Ordinance-2018
Pg. No. -78
Point No. xii